

Abstract

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Title: The Russian Response to NATO Expansion: Academic Influence and Foreign Policy, 1993-1997.

Keywords: Russian Academy of Sciences; Russian foreign policy; NATO; ISKRAN; IMEMO; MGIMO; Epistemic communities; Yeltsin; Primakov; Kozyrev.

Extant scholarship has recognised that academics from the Soviet Academy of Sciences influenced policy during the Soviet period, particularly but not exclusively in the Gorbachev period. However, there has been little empirical research on this phenomenon for post-Soviet Russia. This thesis therefore examines the foreign policy role of academics in the Yeltsin period between 1993 and 1997, using the NATO expansion issue as a case study.

When NATO expansion came onto the Western political agenda in late 1993, most Russian academics were opposed to expansion but they were also opposed to a confrontational response. Meanwhile, statements from Russian officials were far more inflammatory, warning of dire consequences and military countermeasures. Some academics advocated a Russia-NATO agreement as early as 1994, well before Russian officials began to discuss that possibility and decided to pursue that policy in 1996, culminating in the *Founding Act on Mutual Relations, Cooperation, and Security Between NATO and the Russian Federation* in May 1997.

Utilising qualitative methods, the thesis concludes that senior academics from traditionally influential (now Russian) Academy of Sciences institutes (and other government and independent institutes to a lesser extent) made significant contributions to policy selection, formulation, and support. Academics, therefore, remained an important part of the Russian foreign policy elite in this case, even though they were not part of the formal (constitutional) policy making process.

Table of Contents

Abstract.....	1
Table of Contents	2
Acknowledgements	5
Introduction.....	6
Chapter 1: Western Analyses of Soviet and Russian Academics in Foreign Policy Making	
1.0 Introduction	13
1.1 The Stalin Era: 1920s-1953	16
1.2 The Khrushchev Era: 1953-1964	18
1.3 The Brezhnev Era: 1964-1982.....	22
1.3.1 Factors Limiting Academic Influence	27
1.3.2 The Institutes	30
1.3.3 Personal Contacts	34
1.3.4 Publishing	37
1.4 The Gorbachev Era: 1985-1991	40
1.4.1 Academics Conceived and Elaborated Ideas	43
1.4.2 Academics as Propagandists	45
1.4.3 How and Why Influence Increased.....	46
1.5 The Yeltsin Era: 1992-1997	50
1.5.1 Academics as Part of the Political Elite	50
1.5.2 Institutionalised role	51
1.5.3 Academic Institutes and Centres.....	55
1.5.4 Influencing the Debate	57
1.5.5 Declining Influence	58
1.5.6 Dearth of Western Research.....	60
1.6 Conclusion	63
Chapter 2: Russian Foreign Policy Making During the Yeltsin Years: Themes, Theory, Process, and Practice.....	
2.0 Introduction	70
2.1 Foreign Policy Themes	70
2.2 Foreign Policy Making Theory	76
2.2.1 The Russian State of Confusion.....	77
2.2.2 Leadership Politics	79
2.2.3 Bureaucratic Politics.....	81
2.2.4 Interest Groups	87
2.2.5 Ideas and Epistemic Communities	89
2.2.5.1 Epistemic Communities: Transnational School.....	93
2.2.5.2 Epistemic Communities: Domestic School.....	95
2.2.6 Summary.....	96
2.3 Structure and Institutions	98
2.3.1 President and Presidential Administration	98
2.3.2 Presidential Advisory Councils	101
2.3.2.1 Security Council	101
2.3.2.2 Defence Council	106
2.3.2.3 Foreign Policy Council.....	107
2.3.2.4 Presidential Council.....	108
2.3.3 Foreign Ministry.....	108
2.3.4 Defence Ministry/Military	112
2.3.5 Foreign Intelligence Service	115
2.3.6 The Prime Minister	118
2.3.7 Parliament.....	119
2.3.8 Elections and Public Opinion.....	122
2.3.9 Constitutional Court.....	124

2.3.10 Regions.....	125
2.4 Conclusion	125
Chapter 3: Methodology.....	128
3.0 Introduction	129
3.1 Origins of the Thesis	129
3.2 Who? When? Where?	133
3.2.1 Defining <i>Elite</i>	133
3.2.2 Subjects of Research	137
3.2.3 Period of Research	138
3.2.4 Location of Field Research.....	139
3.3 Theoretical Methodology.....	139
3.3.1 Types of Elite Studies	139
3.3.2 Determining Influence	142
3.3.2.1 Interviews	143
3.3.2.2 Reputational Analysis	144
3.3.2.3 Frequency of Reference	145
3.3.2.4 Archival/Documentary Research	146
3.3.2.5 Comparing Proposals with Policy Outcomes	147
3.3.2.6 Biographical Approach	148
3.4 Practical Methodology.....	149
3.4.1 Depth Interviews	149
3.4.2 Questionnaire.....	152
3.4.3 Sample.....	153
3.4.4 Access	154
3.4.5 Other Research.....	158
3.4.6 Interviewer Characteristics	159
3.5 Conclusion	160
Chapter 4: Russian Policy Toward NATO Expansion: Official Voices.....	162
4.0 Introduction	163
4.1 A Brief History of NATO expansion	166
4.1.1 The Soviet Era	166
4.1.2 CEE Perspectives	169
4.1.3 NATO Perspectives.....	173
4.1.3.1 Non-issue to Cautious Interest, 1991-1993	173
4.1.3.2 PfP, 1994–1995.....	175
4.1.3.3 Domestic Pressure 1995-1996	177
4.1.3.4 Solving the Russian Problem, 1996-1997.....	177
4.2 Russian Policy Toward Partnership for Peace	182
4.2.1 Initial Debates on Whether or Not to Join PFP, January-May 1994.....	182
4.2.2 Signing the PfP Framework Document, June 1994	187
4.2.3 Refusal to Sign PfP IPP Document, December 1994	189
4.2.4 Signing the PfP IPP Document, May 1995	190
4.3 The Russian Response to NATO Expansion - The Founding Act.....	193
4.3.1 Early Talk of Compromise, February-March 1995	193
4.3.2 Strong Anti-Expansion Rhetoric, March 1995-March 1996	195
4.3.3 Searching for Compromise, March-December 1996	201
4.3.4 Official Talks Begin, January–March 1997	208
4.3.5 Coming to an Agreement: Helsinki Summit and the Founding Act, March 1997-May 1997	213
4.4 Post-Founding Act, Kosovo, and After.....	215
4.5 Conclusion	218
Chapter 5: Russian Academics' Policy Proposals.....	223
5.0 Introduction	224
5.1 Russian Academy of Sciences (RAN) Institutes	226
5.1.1 Institute of USA and Canada Studies (ISKRAN).....	227
5.1.2 Institute of Europe.....	245

5.1.3 Institute of World Economy and International Relations (IMEMO)	263
5.1.4 Institute of International Economic and Political Studies (IMEPI).....	269
5.2 Government Institutes	272
5.2.1 Russian Institute of Strategic Studies (RISI).....	272
5.2.2 Moscow State Institute of International Relations (MGIMO)	277
5.3 New Independent Institutes	281
5.3.1 Institute for Defence Studies (INOBIS).....	282
5.3.2 Institute of Strategic Assessments (ISO).....	284
5.3.3 Fond 'Reforma'.....	289
5.3.4 Council on Foreign and Defence Policy (SVOP)	292
5.4 Conclusion	294
<i>Chapter 6: The Reality of Academic Influence: Constraints, Mechanisms, and Elite Perceptions.....</i>	299
6.0 Introduction	300
6.1 Factors Limiting Academic Influence	301
6.1.1 Funding	302
6.1.2 Breakdown of Links to Decision Making Bodies	308
6.1.3 Personal Characteristics of Decision Makers	311
6.1.4 Increased Competition	314
6.2 Opportunities to Influence Policy	315
6.2.1 Participation in State Advisory Councils	315
6.2.2 Conferences and Seminars with State Officials.....	319
6.2.3 Submit Reports to Decision Making Bodies.....	322
6.2.4 Publish Commentaries, Critiques and Proposals.....	326
6.3 General Perceptions of Academic Influence.....	332
6.3.1 Institutes' Influence	332
6.3.2 Personal Influence	334
6.4 Perceptions of Specific Institutes and Individuals	340
6.4.1 ISKRAN	341
6.4.2 Institute of Europe	345
6.4.3 IMEMO	347
6.4.4 IMEPI	349
6.4.5 MGIMO	350
6.4.6 RISI.....	353
6.4.7 ISO.....	355
6.4.8 SVOP	357
6.4.9 Other Institutes.....	359
6.5 Conclusion	360
<i>Conclusions</i>	367
<i>Appendices</i>	396
Appendix A: Founding Act on Mutual Relations, Paris, 27 May 1997	396
Appendix B: Other Academic Advisory Councils	405
Appendix C: Interview Respondents, Occupation, and Interview Date	408
Appendix D: Acronyms and Abbreviations	410
<i>Bibliography.....</i>	412
Books.....	412
Articles and Chapters	417
Electronic Sources	436
Other Documents	445
News and translation services cited	446